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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of Mark E. Addis

Docket No.: EH-10778

Serial No.: new application

Group Art Unit: not yet assigned

Filed: 9 July 2003

Examiner: not yet assigned

Title: Brush Seal With Windage Control

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**INFORMATION DISCLOSURE STATEMENT**

Transmitted herewith is an Information Disclosure Statement, Form PTO-1449, submitted in compliance with:

- ☒ 37 C.F.R. 1.97(b) - This information disclosure statement is filed within three months of the filing date of a national application (other than a CPA under 37 C.F.R. 1.53(d)), within three months of the date of entry of the national stage as set forth in 37 C.F.R. 1.491 in an international application, before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of an RCE under 37 C.F.R. 1.114, whichever event occurs last. No certification or fees are required.
- ☐ 37 C.F.R. 1.97(c) - This information disclosure statement is filed before the mailing date of a final action under 37 C.F.R. 1.113, a notice of allowance under 37 C.F.R. 1.311, or an action that otherwise closes prosecution in the application, whichever occurs first. Box (1) or (2) under **CERTIFICATION AND FEE** has been marked accordingly.
- ☐ 37 C.F.R. 1.97(d) - This information disclosure statement is filed after the period specified in 37 C.F.R. 1.97(c), but on or before payment of the issue fee. Box (1) and (2) under **CERTIFICATION AND FEE** have been marked accordingly.

**CERTIFICATION AND FEE**

(1) ☐ 37 C.F.R. 1.97(e) Certification – Either:

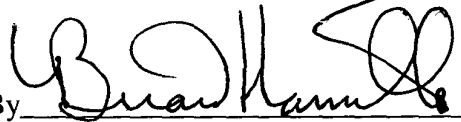
☐ Each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement; or

☐ No item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 C.F.R. 1.56(c) more than three months prior to the filing of the information disclosure statement.

(2) ☐ The \$180.00 fee in accordance with 37 C.F.R. 1.17(p) is due.

Please charge the required fee (if any) to Deposit Account No. 21-0279, Order No. EH-10778 (a duplicate copy hereof is enclosed).

Respectfully submitted,

By 

Brian J. Hamilla

Registration No. 38,482

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860.557.1089

Date: July 9, 2003

**CERTIFICATION AND FEE**

(1) ☐ 37 C.F.R. 1.97(e) Certification – Either:

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Substitute for form 1449A/PTO  <h2 style="margin: 0;">INFORMATION DISCLOSURE STATEMENT BY APPLICANT</h2> <p style="margin: 0; font-style: italic;">(Use as many sheets as necessary)</p>				<b>Complete if Known</b>	
				Application Number	new application
				Filing Date	9 July 2003
				First Named Inventor	Mark E. Addis
				Art Unit	not yet assigned
				Examiner Name	not yet assigned
				Attorney Docket Number	EH-10778

## U. S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

[illegible]

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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